

10-04-04

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Attorney Docket No. 5051-650

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Lindsey et al.

Confirmation No.: 5025

Application No.: 10/654,181

Group Art Unit: 1754

Filed: September 4, 2003

For: FACILE SYNTHESIS OF 1,9-DIACYLDIPYRROMETHANES

Date: October 1, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

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Respectfully submitted,

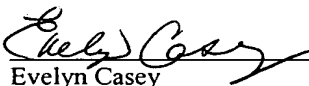
  
Kenneth D. Sibley  
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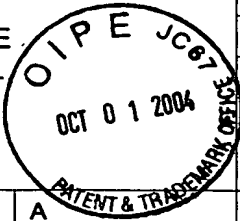
**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

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Evelyn Casey

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|---|---|----|---|--------------------------|-----------------------------|
| Substitute form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                             |
|    |   |    |   | Application Number       | Filed Concurrently Herewith |
|   |   |    |   | Filing Date              | Filed Concurrently Herewith |
|   |   |    |   | First Named Inventor     | Jonathan S. Lindsey         |
|   |   |    |   | Group Art Unit           | To be Assigned              |
|   |   |    |   | Examiner Name            | To be Assigned              |
| Sheet   | A | of | A | Attorney Docket Number   | 5051-686IP                  |

| U.S. PATENTS AND PATENT PUBLICATIONS |          |                      |                              |   |   |
|--------------------------------------|----------|----------------------|------------------------------|---|---|
| Examiner Initials*                   | Cite No. | U.S. Patent Document |                              | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
|                                      |          | Number               | Kind Code<br>(if known[sef]) |   |   |
|                                      | 1.       | US-6,420,648         |                              | Lindsey   | July 16, 2002                                       |
|                                      | 2.       | US-6,559,374         |                              | Lindsey et al.                                  | May 6, 2003   |
|                                      | 3.       | US-6,603,070         |                              | Lindsey et al.                                  | August 5, 2003                                      |
|                                      | 4.       | US-6,642,376         |                              | Lindsey et al.                                  | November 4, 2003                                    |
|                                      | 5.       | US-20030096978       |                              | Lindsey et al.                                  | May 22, 2003  |
|                                      | 6.       | US-10/641,412        |                              | Lindsey et al.                                  | Filed: August 15, 2003                              |
|                                      |          | US-                  |                              |   |   |
|                                      |          | US-                  |                              |   |   |

| FOREIGN PATENT DOCUMENTS |          |                         |        |                         |   |   |
|--------------------------|----------|-------------------------|--------|-------------------------|---|---|
| Examiner Initials*       | Cite No. | Foreign Patent Document |        |                         | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
|                          |          | Office                  | Number | Kind Code<br>(if known) |   |   |
|                          |          |                         |        |                         |   |   |
|                          |          |                         |        |                         |   |   |
|                          |          |                         |        |                         |   |   |

| OTHER NON PATENT LITERATURE DOCUMENTS |          |  |   |
|---------------------------------------|----------|--|---|
| Examiner Initials*                    | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T |
|                                       | 7.       | Gryko et al.; "Parallel synthesis of <i>meso</i> -substituted corroles and <i>meso</i> -substituted [22]pentaphyrins(1.1.1.0.0) from diacyldipyrromethanes" <i>J. Porphyrins Phthalocyanines</i> 7 239-248 (2003).   |   |
|                                       | 8.       | Rao et al.; "Rational Syntheses of Porphyrins Bearing up to Four Different Meso Substituents" <i>J. Org. Chem.</i> 2000 65, 7323-7344 (2000).  |   |
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|--------------------|-----------------|--|
| Examiner Signature | Date Considered |  |
|--------------------|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.